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## Development of a physiologically based kinetic model for 99m-Techetium-labelled carbon nanoparticles inhaled by humans

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1 **Development of a physiologically-based kinetic model for <sup>99m</sup>Techne-  
2 titanium labelled**

3 **carbon nanoparticles inhaled by humans**

4

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18

19 Running Head : Human PBPK model for carbon nanoparticles.

1 **Abstract**

2 Particulate air pollution is associated with respiratory and cardiovascular morbidity and  
3 mortality. Recent studies investigated whether and to which extent inhaled ultrafine particles  
4 are able to translocate into the bloodstream in humans. However, their conclusions were  
5 conflicting. We developed a physiologically based kinetic model for <sup>99m</sup>technetium-labelled  
6 carbon nanoparticles (Technegas<sup>®</sup>). The model was designed to analyse imaging data. **It**  
7 **includes different translocation rates and kinetics for free technetium, and small and large**  
8 **technetium-labeled particles.** It was calibrated with data from an experiment designed to  
9 assess the fate of nanoparticles in humans after inhalation of Technegas<sup>®</sup>. The data provided  
10 time-courses of radioactivity in the liver, stomach, urine and blood. Parameter estimation was  
11 performed in a Bayesian context with Markov Chain Monte Carlo (MCMC) techniques. Our  
12 analysis points to a likely translocation of particle-bound technetium from lung to blood, at a  
13 rate about **2-fold** lower than the transfer rate of free technetium. **Notably, restricting the model**  
14 **so that only free technetium would have been able to reach blood circulation result in much**  
15 **poorer fits to the experimental data.** The percentage of small particles able to translocate was  
16 estimated at 12.7% of total particles. The percentage of unbound technetium was estimated at  
17 6.7% of total technetium. To our knowledge, our model is the first PBPK model able to use  
18 imaging data to **describe the absorption and distribution of nanoparticles.** **We believe that our**  
19 **modeling approach using Bayesian and MCMC techniques provides a reasonable description**  
20 **on which to base further model refinement.**

21

22

# 1 INTRODUCTION

2 Nanoparticles' safety is an integral part of their development process. We regularly witness  
3 the publication of reports on their toxicity effects *in vitro*, which beg for the question of their  
4 significance *in vivo* (Oberdörster et al., 2005 ; Sayes et al., 2007). A crucial point to answer is  
5 to what extent and at what rate nanoparticles enter the body and distribute in its various  
6 tissues. The issue is controversial because nanoparticles are larger than most molecules  
7 known to penetrate easily in the body, because there are little data on the topic, and because  
8 the few published results conflict, even for the same type of nanoparticles (Odajima et al.,  
9 2008). Nemmar et al. (2002) and Mills et al. (2006) exposed healthy non-smoking volunteers  
10 to <sup>99m</sup>technetium-labelled carbon nanoparticles (Technegas<sup>®</sup>) in similar conditions. Nemmar  
11 et al. concluded that nanoparticles translocated from the lung into the blood circulation. Mills  
12 et al., however, found no evidence to support that **claim, reporting that most of their observed**  
13 **data were explained** by the distribution of free technetium (Tc), unbound to nanoparticles.  
14 They suggested many explanations for Nemmar et al. (2002) data, such as the need to count  
15 for free technetium kinetics and the fact that in imaging data, **several overlapping regions**  
16 **contribute to radioactivity in the regions of interest**. For instance, the radioactivity measured  
17 in liver is partially related to technetium concentration in the lung, the skin, the blood and  
18 adipose tissues. It seems consequently crucial to re-analyse Nemmar et al. data with tools able  
19 to analyse imaging data and estimate relevant kinetics parameters.

20 Therefore, we developed a physiologically based pharmacokinetic (PBPK) model, adapted to  
21 imaging data analysis, which proposes a realistic, even if simplified, description of the  
22 mechanisms of absorption, distribution and elimination **of technetium-labeled nanoparticles**  
23 **and free technetium in the** body. In PBPK models, the body is subdivided into various  
24 compartments representing specific organs linked **by blood flows**. Compartments are  
25 characterized by a set of parameters of physiological relevance (*e.g.*, volume and blood

1 perfusion rate) which play a crucial role in explaining the behavior of chemical substances in  
2 the body, and are invariant across substances. PBPK models offer great flexibility. In  
3 particular, they are adapted to extrapolations between species, routes or dose levels (Chiu et  
4 al., 2007). The general PBPK model we proposed was applied to the Nemmar et al. inhalation  
5 study, with parameters estimation in a Bayesian context. The Bayesian approach is a suitable  
6 method in the context of mechanistic pharmacokinetic modelling, as they are well suited for  
7 efficient use of both data and prior knowledge regarding the compound or the physiology of  
8 the subjects. This permits a reliable parameter estimation for a complex PBPK model such as  
9 the one used there.

10

## 11 **MATERIALS AND METHODS**

### 12 **Data**

13 Nemmar et al. collected Technegas<sup>®</sup> distribution data on 5 healthy male volunteers from 24 to  
14 47 years old. Technegas<sup>®</sup> is an aerosol suspension of <sup>99m</sup>Tc-labelled carbon particles produced  
15 in high purity argon. The size of individual particles was 5 to 10 nm, confirmed by electron  
16 microscopy. Volunteers were exposed to approximately 100 MBq of Technegas<sup>®</sup> in 3 to 5  
17 breaths. Blood radioactivity data were collected at 1, 5, 10, 20, 30, 45 and 60 minutes after  
18 Technegas<sup>®</sup> inhalation, together with gamma-camera images of radioactivity distribution in  
19 the whole body measured at 5, 10, 20, 30 and 45 minutes. Three regions of interest (liver,  
20 stomach lumen, bladder content, *i.e.* urine) were defined on the images. We disposed of their  
21 intensity apportioned to liver intensity at 5 minutes. We did not use directly intensity  
22 apportioned to initial lung radioactivity, as shown in Nemmar et al. figure 2, because of the  
23 very high variability of this measurement among the five subjects.

24

## 1 **PBPK Model**

2 The basic model structure is presented in Figure 1, with the whole systems of equations  
3 detailed in the Appendix. It subdivides the human male body into 24 compartments. This  
4 number of compartments have been included because imaging data analysis decompose  
5 imaging regions of interest into many compartments (See next paragraph). However, we used  
6 a common partition coefficient between organs and blood and the blood fluxes in organs were  
7 fixed to reference values to avoid overparametrisation of the model.

8 As PBPK models deal with organ concentrations, prior to parameters estimation, we had to  
9 relate the observed data (relative gamma emission intensity or radioactive decay counts) to  
10 organ concentrations. We defined regions of interest (ROIs) for various organs on a projection  
11 of a voxel-based anthropomorphic phantom (Zubal et al., 2001). We then counted the voxels  
12 pertaining to the various tissues and empty space around the body in the volume defined by an  
13 ROI, and formed the ratios of those counts to the total number of voxel in the volume  
14 considered. Then, we could relate mathematically ROI intensity and concentrations in organs  
15 and blood (See Appendix).

16 In our model, intake of nanoparticles occurs initially through breathing. Particles are  
17 deposited in the upper respiratory tract and lungs. Some are rapidly transferred to the  
18 stomach, due to the swallowing of particles deposited in the mouth (Nemmar et al., 2002). **In**  
19 **our model, there was consequently a fixed initial condition with a fixed quantity of particles**  
20 **and free technetium in the stomach and a fixed one in the lung. As actual exposure dose was**  
21 **uncertain, this parameter was among the ones we estimated from the data.** Technegas<sup>®</sup> is not  
22 homogeneous. Indeed, part of the technetium is unbound and particles have different sizes,  
23 which can induce different kinetics. We distinguished between three different fractions: <sup>99m</sup>Tc  
24 bound to small particles (*i.e.* able to translocate), <sup>99m</sup>Tc bound to large particles (*i.e.* unable to  
25 translocate) and free <sup>99m</sup>Tc. The concomitant distribution of <sup>99m</sup>Tc in these three fractions was

1 modeled. Large particles are assumed to remain at constant concentration in the lung and the  
2 stomach, as suggested by many publications (Mills et al., 2005; Wiebert et al., 2006). Small  
3 particles and free  $^{99m}\text{Tc}$  in the lung can transfer to blood by diffusion. Moreover, free  $^{99m}\text{Tc}$   
4 can translocate from the lung into the gastrointestinal tract as shown by Wiebert et al. (2006).  
5 This was accounted for in the model, in which we also assumed that this translocation was  
6 possible for small nanoparticles. Once in the blood, small particles and free  $^{99m}\text{Tc}$  diffuse to  
7 the various compartments (except the brain, because Nemmar data show no transfer of  $^{99m}\text{Tc}$   
8 to that organ). Elimination of free  $^{99m}\text{Tc}$  is supposed to occur by filtration to urine. During the  
9 time of exposure (60 min), the renal elimination of particle bound- $^{99m}\text{Tc}$  was neglected, as  
10 there was only free  $^{99m}\text{Tc}$  found in urine (Nemmar et al., 2002). To facilitate parameters  
11 estimation, we assumed that all organs have the same blood over tissue partition coefficient  
12 value. Some studies have shown that accumulation of  $^{99m}\text{Tc}$  is similar in most of the organs  
13 (ICRP, 1999).

14 Applying the law of mass conservation for each organ or tissues yields for each model a set of  
15 differential equations (see Appendix), which were solved by numerical integration using the  
16 MCSim software version 5.0.0 (Bois and Maszle, 1997) (see  
17 [http://freedomatic.free.fr/page\\_mcsim.html](http://freedomatic.free.fr/page_mcsim.html)). The models can be used to simulate the time  
18 course of radioactivity associated with  $^{99m}\text{Tc}$ -labeled nanoparticles and free  $^{99m}\text{Tc}$  in the  
19 various body compartments, as a function of the quantity of Tc inhaled and of various  
20 physiological characteristics of the body.

21 The PBPK model parameters, and some additional parameters needed to describe the data  
22 collection process, are described together with the model equations in Supporting  
23 Information. For physiological parameters (such as organ volumes and blood flows), we used  
24 the fixed values given in Tables 1 and 2, which are common values for adult males.  
25 Parameters specific to Technegas<sup>®</sup> or to the experiments performed were treated as random

1 variables in a Bayesian statistical framework (as detailed below), and their prior sampling  
2 distributions are given in Table 3.

3

#### 4 **Statistical analysis**

5 A statistical measurement error model and a population model were needed to assign values  
6 for some of the parameters given in Table 3 given their prior distributions and Nemmar et al.  
7 data. The measurement error model described modeling errors and uncertainties in the data  
8 collection process (*e.g.*, in the fraction of the particles immediately swallowed, imprecise  
9 quantity of inhaled Tc, approximate definition of the regions of interest scanned). Inter-  
10 individual variability was quantified in the framework of a population model (Gelman et al.,  
11 1996; Bernillon and Bois, 2000) to try to account for the fact that the five subjects studied  
12 clearly differed from a kinetic standpoint (see for example in Figure 3 the differences in blood  
13 radioactivity counts between subjects given the same exposure).

14 For a given PBPK model, the measurement errors in blood radioactivity counts were assumed  
15 to be independent and log-normally distributed, with a geometric mean equal to the PBPK  
16 model predictions and a geometric standard deviation (GSD) of 1.05 (approximately 5%  
17 error). The same was assumed for the relative intensities in liver, stomach, and urine, but with  
18 a GSD of 1.15. Data likelihoods were therefore given by:

$$19 \quad L(\mathbf{Y}|\mathbf{X},\theta) = \prod_{i=1}^n \frac{1}{\sigma_c} F(X_i, \theta) \exp\left(-\frac{(\ln(Y_i/F(X_i, \theta)))^2}{\sigma_c^2}\right) \quad (1)$$

20 where the function  $F(X, \theta)$  corresponds to a PBPK model with input  $X$  and parameters  $\theta$ , and  
21  $\sigma_c$  is equal to either 1.05 or 1.15.

22 Pharmacokinetic models and error models were embedded in a hierarchical population  
23 structure, which considers that each subject's parameter values  $\theta$  are drawn from a statistical  
24 distribution  $G$  with given population mean  $\mu_\theta$  and variance  $\sigma_\theta$ .



1 The proposed population model has two major components: the individual and the population  
2 levels. At the individual level, parameters  $\theta$  were assumed to be normally or log-normally  
3 distributed with population means  $\mu_\theta$  and standard deviations  $\sigma_\theta$ . Truncation bounds of the  
4 distributions were set on the basis of the limits for plausible values. The hierarchical  
5 population structure was only applied for the physiological parameters in Table 3 (fractions of  
6 lungs and volume of urine). The population distributions were normal, with normal  
7 distribution for population variances with a mean of 1.01 and a standard deviation of 1. In  
8 contrast, partition coefficients and percentage of free Tc and of small particles were  
9 considered only as population parameters (*i.e.*, constant across subjects). Two parameters  
10 were added at individual level, the quantity of inhaled Tc,  $Q_{lung}(0)$  and the fraction of the  
11 inhaled particles immediately swallowed,  $S_f$ .

12

### 13 **Bayesian Inference via MCMC**

14 The population model described above was fitted to the observed data with Bayesian  
15 techniques (Gelman et al., 1995). The Bayesian approach yields a sample of parameter values  
16 from their joint posterior distribution. From Bayes' theorem, the joint posterior distribution of  
17 parameters is proportional to the prior distributions of parameters multiplied by the data  
18 likelihood. The posterior is then an update, using observed data, of what it is known about  
19 parameters prior to the experiment.

20 The first step of the Bayesian approach consists in defining prior distributions that quantify  
21 the information coming from expertise or from the scientific literature. Prior distributions on  
22 population parameters and their associated parameters are summarized in Table 3. As little is  
23 known about nanoparticle kinetics, we generally used very wide uniform distributions to  
24 define chemical-specific parameters. We had prior information about the first-order transfer

1 rate from lung to blood for free Tc (Klotzerke et al., 1996; Thomeer et al., 2002) so that we  
2 fixed  $K_{v\_lung\_1}$  at 0.04.

3 We obtained marginal posterior distributions of the model parameters in Table 3 by randomly  
4 sampling their values from the joint posterior distributions of all population and individual  
5 parameters, conditionally on the data from the 5 subjects. For this, we used Markov Chain  
6 Monte-Carlo simulations (Gilks et al., 1996) (Metropolis-Hastings sampler) performed with  
7 the *MCSim* software. After 80000 iterations of 3 parallel Markov chains, their convergence  
8 was checked by calculating the Gelman and Rubin  $\hat{R}$  ratio on the last 20000 iterations  
9 (Gelman and Rubin, 1992).

10

## 11 **RESULTS**

12 The highest  $\hat{R}$  computed for the last 20000 iterations was 1.05, showing that all chains had  
13 approximately converged. To get a sample from the targeted posterior distribution, we took  
14 one in 10 vectors among the last 20000 of each chain leading to a posterior sample of 6000  
15 parameter vectors.

16 Figure 2 and 3 provide the data and regression for the relative intensities of stomach and urine  
17 compared to liver and the radioactivity measured in blood. The fit is globally satisfactory but,  
18 for each figure, at least one individual dataset is badly fitted. For stomach, subject 5 dataset is  
19 very different from those of the other individuals. The ratio is almost constant during the first  
20 four time points and only increases for the last time point. For urine, subject 1 measures are  
21 underestimated. As for blood concentrations, subject 3 kinetics has not been captured by the  
22 model. It is however important to note that most of the parameters of the model are common  
23 to the five individuals, to avoid over-parameterization and difficulties for parameter  
24 estimation. Individual substantial differences for one parameter could explain the bad fit. For  
25 instance, subject 1 may have a smaller urine flow rate than the other subjects.

1 We tried an alternative model with no particle (small or large) being able to reach blood  
2 circulation. This was performed by fixing at 0 the translocation rates for small particles. **The**  
3 **resulting-Loglikelihood was doubled, and the time-courses of organ intensities and blood**  
4 concentration were not captured by this alternative model, for all the subjects.

5 Table 4 gives posterior distribution summaries for the population parameters of the model. It  
6 appears that only a small proportion of the technetium was free (6.7% of total technetium) but  
7 also that only a small proportion of the particles were able to reach blood circulation (12.7%  
8 of total particles). For both parameters, the standard deviation is **less than** 20% of the mean  
9 value, which shows that, despite the relative low number of subjects, it was possible to have  
10 an accurate estimate for these parameters. The translocation rate of particles from lung to  
11 blood was **2-fold** lower than the one of free technetium. Elimination of free technetium was  
12 rapid and translocation of free technetium from the lung into the stomach was ten times lower  
13 than its translocation in the blood. Partition coefficients were comparable for particle-bound  
14 and free technetium. As for individual parameters (data not shown), most of the initial amount  
15 of particles were in the lung, **less than 4% of initially inhaled particles having being**  
16 **swallowed**. Estimated exposures ranged from 139 to 229 MBq, greater than but consistent  
17 with the estimate 100 MBq reported by Nemmar et al. (2002). **Indeed, there were many**  
18 **sources of variation relative to exposure. For instance, the number of breaths varied from 3 to**  
19 **5.**

20

## 21 **DISCUSSION**

22 The adverse effect of inhaled particles on the cardiovascular system have been  
23 suggested to result from pulmonary inflammation resulting in systemic consequences and/or  
24 the direct translocation of particles from the lungs into the systemic circulation (Seaton et al.,  
25 1995; Vermynen et al., 2005). With respect to the possibility that ultrafine particles translocate

1 from the **pulmonary** into the blood circulation, various studies have been conducted in  
2 different animal models. The amount of ultrafine particles that translocated into blood and  
3 extrapulmonary organs differs amongst these studies (Nemmar et al., 2001; Oberdörster et al.,  
4 2002; Takenaka et al., 2001).

5         However, the issue of particle translocation in humans is still contradictory. Brown et  
6 al. (2002) studied the deposition and clearance for 2 h of an **60 nm** technetium-99m-labeled  
7 aerosol in human volunteers, and found no significant radioactivity over the liver ( $1.3 \pm$   
8  $1.2\%$ ). This activity was attributed to scatter from the lung and/or overlap of lung parenchyma  
9 in the liver. Consequently, these authors excluded the occurrence of translocation and,  
10 although they did not measure radioactivity in blood, they challenged the conclusion of  
11 Nemmar et al. (2002), that **5-10 nm technetium-labeled** particles could pass from the lungs  
12 into blood and extrapulmonary organs. More recently, Mills et al. (2005) exposed healthy  
13 non-smoking volunteers to <sup>99m</sup>technetium-labelled carbon nanoparticles (Technegas®) in  
14 similar conditions to Nemmar's study. While the study of Nemmar et al., concluded to a  
15 translocation of nanoparticles from the lung into the blood circulation, Mills et al., however,  
16 found no evidence to support the conclusion of Nemmar et al., and have explained the  
17 findings by the distribution of free technetium (Tc), unbound to nanoparticles. Mills and  
18 colleagues investigated this question by using an aerosol of technetium-99m-labeled carbon  
19 particles (4-20 nm in diameter, but these rapidly formed aggregates of 100 nm in the inhaled  
20 aerosol). No significant radioactivity was found over the liver. The nature of the radioactivity  
21 found in blood (4.4 %) consisted mainly of pertechnetate, as analysed by thin layer  
22 chromatography (TLC). TLC controls of Technegas aerosol collected immediately from the  
23 generator showed clearly two peaks, one at the origin corresponding to particle-bound <sup>99m</sup>Tc  
24 and the other at the solvent front corresponding to oxidized <sup>99m</sup>Tc, ie, pertechnetate (TcO<sub>4</sub><sup>-</sup>).  
25 Moreover, when the aerosol was added to whole blood *in vitro*, two peaks were observed one

1 staying at the application point and the other moving with the solvent front (smaller peak).  
2 The radioactivity observed after Technegas inhalation consisted mainly in free pertechnetate,  
3 because additional *in vivo* oxidation may easily have occurred. No TLC analysis after the  
4 administration of free pertechnetate in humans or *in vivo* in animals has been reported by  
5 these authors.

6 These results contrasts with previous findings by Nemmar et al., also based on  
7 inhaling an aerosol of technetium-99m-labeled carbon particles, where particle-bound  
8 radioactivity (also assessed by TLC) was detected in blood already after 1 minute, reaching a  
9 maximum between 10 and 20 minutes, and remaining at this level up to 60 minutes. The TLC  
10 analysis obtained after adding blood with <sup>99m</sup>Tc-carbon particles collected from the generator  
11 showed, at 1 min, only one peak that stayed at the origin. However, at 60 min, in addition to  
12 the peak at the origin, the presence of a smaller peak of radioactivity at the solvent front has  
13 been observed (suggestive of *in vitro* oxidation). Following Technegas inhalation, TLC of all  
14 blood samples showed, in addition to radioactivity having moved with the solvent front, a  
15 substantial proportion of radioactivity that stayed at the application point and corresponded to  
16 particle-bound <sup>99m</sup>Tc. In contrast, there was only one peak at the solvent front after adding  
17 <sup>99m</sup>Tc-pertechnetate to blood or in blood collected after the intratracheal administration of  
18 <sup>99m</sup>Tc-pertechnetate to hamsters. Gamma camera images showed substantial radioactivity over  
19 the liver and other areas of the body. Consequently, the discrepancies between these two  
20 studies may be related to the Technegas particles (size and composition) from Technegas  
21 generators with a different history and age. While further studies with other types of  
22 radioactive labeling should clarify this issue, the PBPK model developed for <sup>99m</sup>technetium-  
23 labelled carbon nanoparticles is a useful approach aimed at evaluating the translocation of  
24 nanoparticles from lungs into the systemic circulation.

1 To our knowledge, our paper presents the first use of PBPK modeling to assess  
2 distribution of nanoparticles in humans, based on imaging data. It offers a reasonable  
3 description of Technegas<sup>®</sup> kinetics in humans, with a relatively low number of parameters (12  
4 parameters). We showed how information obtained from imaging could be coupled with  
5 blood concentration measurements to update kinetics parameters distribution in a Bayesian  
6 framework. We obtained similar results relative to free technetium kinetics than other studies  
7 (ICRP, 1999; Mills et al., 2005; Wiebert et al., 2006), a high elimination rate through urine,  
8 and less than 5% of swallowed particles. The estimated fraction of unbound technetium  
9 (6.7%) was also very coherent with the one found by Mills et al. (2005) at approximately 5%.  
10 From our study, it appears that passage of particles (of this size and specification) from lung  
11 into the blood circulation is likely to occur, for a small number of particles, with a rate of  
12 passage half the known rate for soluble <sup>99m</sup>Tc. In the paper by Nemmar et al. (2002), there  
13 was no attempt to quantify the proportion of particles likely to enter the circulation, but  
14 suggestions of possible high levels. However, we showed here, with the same data, that only a  
15 small proportion of the particles were able to translocate. Moreover in the paper by Nemmar  
16 et al. (2002), the rapid and constant liver radioactivity they observed was presented as due to  
17 particles sequestration in Kupffer cells, with a relatively high level of radioactivity (around  
18 10%). With our modeling approach, we showed that liver radioactivity was partly explained  
19 by the presence of lung tissues in the liver imaging region of interest. Moreover, there was no  
20 need for a specific high partition coefficient to fit the data. Wiebert et al. (2006) performed  
21 experiments with either stable or unstable labeling. In the first experiment, there was only  
22 around 0.1 % of initial deposited activity detected in blood as bound to particles after 80  
23 minutes. This result is close to the Mills study. In contrast, in the experiment with one subject  
24 exposed to unstable labeling, around 6% of initial deposited activity was found in blood as  
25 bound to particles. This result is close to our estimate for Nemmar study. This suggests that

1 the percentage of particles able to translocate is very dependent on the experimental design,  
2 even if high percentages are very unlikely.

3 The data used from the experiments described by Nemmar et al. were not specifically  
4 collected to perform PBPK modeling. We only had data on liver, urine, stomach and blood  
5 and they only extend to 60 minutes. Moreover, the data reported on the imaging were  
6 expressed as percentage of initial lung radioactivity which is a relative imprecise measure  
7 because it is only relative and not quantitative nor specific for chemical identity. The dataset  
8 contained data of a few organs; a more detailed set, with, in particular lung radioactivity time  
9 course, would have helped us in the model assessment procedure. We also looked, in the  
10 literature, for kinetics data on free Tc kinetics, and we could only get prior information about  
11 the rate of passage from lung into blood. More information on Tc kinetics, in particular on the  
12 partition coefficient, would permit to refine the assessment of the distribution and kinetics of  
13 free and bound  $^{99m}\text{Tc}$  in the model. The expectation is that this would not change our main  
14 conclusion that the observed translocation cannot be explained solely by the kinetics of free  
15 technetium.

16 To conclude, a model was developed that describes the inhalation and distribution of  
17 Technegas in humans as representative of carbon nanoparticles. Although lacking measured  
18 tissue-to-blood partition coefficients and independent verification to a secondary data, the  
19 modeling approach using Bayesian and MCMC techniques provides a reasonable description  
20 on which to base further model refinement.

21

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23

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3

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1 **APPENDIX : PBPK model equations**

2 The following equations are for the quantities  $Q$  of  $^{99m}\text{Tc}$ -labeled nanoparticles and free  $^{99m}\text{Tc}$   
 3 in each compartment. Quantities were expressed in MBq, which is the unit of radioactivity  
 4 used in Nemmar *et al.* paper. Concentrations  $C$ , in MBq/L, were obtained at any time by  
 5 dividing  $Q$  by the compartment volume. Volumes were in L, time in min, flows and rates in  
 6 L/min.  $Q$  and  $C$  depended on time but we omit the time argument when possible for lighter  
 7 notation. A list of parameters and their values can be found in Tables 1-3 of the main text.  
 8 For adipose tissue, adrenals, bone marrow, brain, breast, heart, kidneys, muscles, other organs  
 9 and tissues, pancreas, skin, spleen, testes and thyroid:

10 
$$\frac{dQ_i}{dt} = F_i \left( C_{art} - \frac{C_i}{P_i} \right) \quad (1)$$

11 where  $F_i$  are defined in Table 2, and  $P_i$  are tissue over blood partition coefficients (Table 3).  
 12 For the lung:

13 
$$\frac{dQ_{lung}}{dt} = K_{v\_lung} C_{lung} - K_{m\_lung} C_{lung} - K_{stomach} C_{lung} \quad (2)$$

14 where  $K_{v\_lung}$  and  $K_{m\_lung}$  are respectively the direct transfer rate from lung to blood and the  
 15 translocation rate from lung to stomach lumen (in L/min). Initial dose in the lung,  $Q_{lung}(0)$  is  
 16 one of the parameters and is estimated from the data for each of the subjects.

17 For the liver, blood feeds from the arterial pool, spleen, pancreas, stomach and gut:

18 
$$\frac{dQ_{liver}}{dt} = F_{liver} C_{art} - F_{spleen} C_{spleen} - F_{pancreas} C_{pancreas} - F_{stomach} C_{stomach} - F_{gut} C_{gut} \quad (3)$$

19 Total blood flow to the liver,  $F_{liver}$ , is the sum of extra-portal flow and incoming flows from  
 20 spleen, pancreas, gut and stomach:

1 
$$\frac{dQ}{dt} = \sum_i Q_i - \sum_o Q_o \quad (4)$$

2 For urine:

3 
$$\frac{dQ}{dt} = K_{e\_renal} C_{renal} \quad (5)$$

4 where  $K_{e\_renal}$  is the urinary clearance rate (in L/min).

5 For arterial blood:

6 
$$\frac{dQ}{dt} = \sum_i Q_i - \sum_o Q_o \quad (6)$$

7 For venous blood:

8 
$$\frac{dQ}{dt} = \sum_i Q_i - \sum_o Q_o \quad (7)$$

9 where  $i$  designate the following organs or tissues: adipose tissue, adrenals, bone marrow,  
10 brain, breast, heart, kidneys, liver, muscles, other organs and tissues, skin, testes and thyroid

11 The gastro-intestinal tract is described by four compartments:

12 
$$\frac{dQ}{dt} = \sum_i Q_i - \sum_o Q_o \quad (8)$$

13 
$$\frac{dQ}{dt} = \sum_i Q_i - \sum_o Q_o \quad (9)$$

14 
$$\frac{dQ}{dt} = \sum_i Q_i - \sum_o Q_o \quad (10)$$

15 
$$\frac{dQ}{dt} = \sum_i Q_i - \sum_o Q_o \quad (11)$$

1 where  $F_{stom\_lumen}$  is the transport rate from the stomach lumen to the gut lumen (L/min). These  
 2 transport rates were assumed to negligible during the experiment.

3 As part of the inhaled particles have been swallowed, we introduced a parameter ( $S_f$ ) so that  
 4  $Q_{stom\_lumen}(0) = S_f \cdot Q_{lung}(0)$ . This parameter is estimated for all subjects.

5 We had first to relate the observed data (relative gamma emission intensity or radioactive decay  
 6 counts) to the above quantities or concentrations. We defined regions of interest (ROIs) for various  
 7 organs on a projection of a voxel-based anthropomorphic phantom (The Zubal Phantom - Voxel-  
 8 Based Anthropomorphic Phantoms – [<http://noodle.med.yale.edu/zubal/index.htm>]). We then  
 9 counted the voxels pertaining to the various tissues and empty space around the body in the volume  
 10 defined by an ROI, and formed the ratios of those counts to the total number of voxel in the volume  
 11 considered.

12 The following table gives the fractions estimated (they do not add up to 1 since minor tissues  
 13 and empty space are excluded):

Tissue	Region Of Interest			
	"Lung"	"Liver"	"Stomach lumen"	"Bladder"
Adipose	0.05	0.05	0.09	0.14
Liver	-	0.39	-	-
Lung	0.44	(estimated)	(estimated)	-
Muscle	0.1	0.1	0.13	0.08
Skin	0.02	0.02	0.02	0.02
Spleen	-	-	0.1	-
Stomach lumen	-	-	0.23	-
Urine	-	-	-	0.17

14  
 15 We account for the blood present in the organs, which contributes to the intensity of  
 16 radioactivity of the studied organs. The blood volume per organ was obtained from Leggett  
 17 and Williams (1995) who provide blood content in percentage of total blood volume for a  
 18 reference middle-aged man. In the equations for intensity, we added the blood contribution  
 19 when it could be substantial, i.e. in liver (31%), lung (140%), spleen (52%).

20 The intensities at time  $t$  in liver, stomach lumen and urine were computed similarly:

$$1 \quad \frac{dC_{intensity}(t)}{dt} = K_{intensity} \sum_{j=1}^n f(i,j) C_{intensity}(t) - K_d C_{intensity}(t) \quad (12)$$

$$2$$

$$3 \quad \frac{dC_{intensity}(t)}{dt} = K_{intensity} \sum_{j=1}^n f(i,j) C_{intensity}(t) - K_d C_{intensity}(t) \quad (13)$$

$$4 \quad \frac{dC_{intensity}(t)}{dt} = K_{intensity} \sum_{j=1}^n f(i,j) C_{intensity}(t) - K_d C_{intensity}(t) \quad (14)$$

5 where  $K_{intensity}$  is a proportionality constant,  $K_d$  is the decay rate constant for  $^{99m}\text{Tc}$  (0.001922  
 6  $\text{min}^{-1}$ ), and  $f(i,j)$  the fraction of tissue  $i$  present in a ROI labeled  $j$ .

7  $V_{urine}(t)$  is the volume of urine (in L) at time  $t$ , computed as:

$$8 \quad V_{urine}(t) = V_{urine}(0) + F_{urine} t \quad (15)$$

9 where  $V_{urine}(0)$  is the volume of urine (in L) in the bladder at the start of exposure and  $F_{urine}$  is  
 10 the urinary flow rate (L/min).

11  
 12 The recorded radioactivity counts in blood (in CPM/g of blood) were obtained from  $C_{ven}$  (in  
 13 MBq/L) by:

$$14 \quad C_{blood} = 60000 C_{ven} \quad (16)$$

15 **The number 60000 comes from the conversion from MBq/L in CPM/g.**

16 We described jointly the kinetics of small particles-bound- $^{99m}\text{Tc}$  and free  $^{99m}\text{Tc}$ . We  
 17 introduced the fraction of  $^{99m}\text{Tc}$  bound,  $Bound$ , and the fraction of small particles,  $Small$ , as  
 18 model parameters and defined two set of variables (the concentrations related to large

1 particles-bound-<sup>99m</sup>Tc are constant over time, so that there is no use to define a set of  
 2 variables for them). Variables  $Q_{i_0}$  corresponded to <sup>99m</sup>Tc bound to small particles (*i.e.*,  
 3 labeled nanoparticles) in each compartment, with  $Q_{i_1}$  corresponding to free <sup>99m</sup>Tc. Similarly,  
 4 we defined concentration sets  $C_{i_0}$  and  $C_{i_1}$  in MBq/L.

5 The inhaled small particles-bound-<sup>99m</sup>Tc and unbound-<sup>99m</sup>Tc concentrations were functions of  
 6 the total concentration inhaled  $C_{inh}$ :

$$7 \quad C_{inh} = C_{i_0} + C_{i_1} \quad (17)$$

$$8 \quad C_{inh} = C_{i_0} + C_{i_1} \quad (18)$$

9 Equations 1 to 3, and 5 to 11 were duplicated (one set for bound <sup>99m</sup>Tc, the other for free  
 10 <sup>99m</sup>Tc). Output equations 12 to 14 and 16 did not change, and the concentrations  $C_{adip}$ ,  $C_{lung}$ ,  
 11  $C_{livers}$ , *etc.* of total <sup>99m</sup>Tc which they use were at each time computed as the sum of the bound  
 12 (to small and large particles) and free concentrations.

13



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TABLE 1

Organ or tissue volumes calculated for a standard man of 1.76 m and 73 kg, using the organ weights given by the ICRP 2002 Pub 89 (Table 2.8 p.18; Table 2.9 p.19). Density for the organs is supposed equal to 1 excepted for adipose tissues (density 0.9) and bones (density 2)

<b>Tissue or organ</b>	<b>Symbol</b>	<b>Value (L)</b>
Adipose	$V_{adip}$	18.8
Adrenals	$V_{adrenal}$	0.014
Arterial blood	$V_{art}$	1.40
Venous blood	$V_{ven}$	4.20
Bone	$V_{bone}$	2.75
Brain	$V_{brain}$	1.45
Breast	$V_{breast}$	0.025
Gut	$V_{gut}$	1.02
Gut lumen	$V_{gut\ lumen}$	0.65
Heart	$V_{heart}$	0.33
Kidney	$V_{kidney}$	0.31
Liver	$V_{liver}$	1.80
Lung	$V_{lung}$	0.50
Bone marrow	$V_{marrow}$	3.65
Muscles	$V_{muscle}$	29.0
Others	$V_{other}$	7.06
Pancreas	$V_{pancreas}$	0.14
Skin	$V_{skin}$	3.30
Spleen	$V_{spleen}$	0.15
Stomach	$V_{stomach}$	0.15
Stomach lumen	$V_{stom\ lumen}$	0.25
Testes	$V_{testes}$	0.056
Thyroid	$V_{thyroid}$	0.019

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TABLE 2

Urine flow rate and blood flows for the various organs or tissues. These have been computed using cardiac output, percent blood flows per tissue mass and organ weights given in ICRP 2002 Pub 89 (Table 2.8 p18-19, Table 2.39 p28, Table 2.40 p29) or provided by William & Leggett (1989).

<b>Tissue or organ</b>	<b>Symbol</b>	<b>Value (L/min)</b>
Adipose	$F_{adip}$	0.564
Adrenals	$F_{adrenal}$	0.02
Brain	$F_{brain}$	0.78
Breast	$F_{breast}$	0.00
Gut	$F_{gut}$	0.98
Heart	$F_{heart}$	0.35
Kidney	$F_{kidney}$	1.23
Liver	$F_{eport}$	0.45
Lung	$F_{total}$	6.72
Bone marrow	$F_{marrow}$	0.29
Muscles	$F_{muscle}$	1.11
Others	$F_{other}$	0.19
Pancreas	$F_{pancreas}$	0.065
Skin	$F_{skin}$	0.33
Spleen	$F_{spleen}$	0.19
Stomach	$F_{stomach}$	0.065
Testes	$F_{testes}$	0.004
Thyroid	$F_{thyroid}$	0.094
Urine flow rate	$F_{urine}$	0.001

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TABLE 3

MCMC-sampled physiological or experiment-specific parameters. Population distributions.  
references ICRP 2002 Pub 89, p.99.

Parameter	Symbol	Distribution	Unit
Quantity of inhaled Technetium	$Q_{lung}(0)$	Uniform (0, 300)	MBq
Fraction of the inhaled particles immediately swallowed	$S_f$	Uniform (0, 0.2)	-
Volume of urine in bladder at start of exposure	$V_{urine}(0)$	Uniform (0, 0.5) <sup>i</sup>	L
Fraction of lung in liver imaging region	f(lung, liver)	Normal [0.02, 0.01] (0, 1) <sup>ii</sup>	-
Fraction of lung in stomach imaging region	f(lung, stom)	Normal [0.02, 0.01] (0, 1)	-
Fraction of small nanoparticles	$Small$	Uniform (0,1)	-
Fraction of Technetium bound to nanoparticles	$Bound$	Uniform (0,1)	-
Partition coefficient for free Technetium	$P_1$	Uniform (0, 20)	-
Partition coefficient for small particles	$P_0$	Uniform (0, 20)	-
Translocation rate from lung to blood for free Technetium	$Kv\_lung_1$	0.04	L/min
Translocation rate from lung to blood for small particles	$Kv\_lung_0$	Uniform (0, 0.1)	L/min
Translocation rate from lung to stomach lumen	$Km\_lung$	Uniform (0, 0.1)	L/min
Free Technetium renal elimination rate	$Ke\_renal_1$	Uniform (0, 1)	L/min
Small particles renal elimination rate	$Ke\_renal_0$	0	L/min

<sup>i</sup> Between brackets are the boundaries of the distribution  
<sup>ii</sup> Between square braces are the mean and standard deviation of the distribution.

---

TABLE 4

Model posterior parameter estimates.

Parameter	Arithmetic mean and standard deviation
<i>Small</i>	$0.127 \pm 0.0113$
<i>Bound</i>	$0.93 \pm 0.0158$
f(lung,liver)	$0.0129 \pm 0.00437$
f(lung,stom)	$0.00564 \pm 0.00327$
$K_{v\_lung\_0}$ (L/min)	$0.0165 \pm 0.000952$
$P_{-0}$	$0.445 \pm 0.0295$
$V_{u\_zero}$ (L)	$0.0196 \pm 0.00779$
$K_{m\_lung}$ (L/min)	$0.0049 \pm 0.000868$
$K_{e\_renal\_1}$ (L/min)	$0.798 \pm 0.134$
$P_{-1}$	$0.499 \pm 0.164$

---

TABLE 5

Model posterior parameter estimates.

Parameter	Mean and standard deviation
<i>Small</i>	$0.127 \pm 0.0113$
<i>Bound</i>	$0.93 \pm 0.0158$
f(lung,liver)	$0.0129 \pm 0.00437$
f(lung,stom)	$0.00564 \pm 0.00327$
$K_{v\_lung\_0}$ (L/min)	$0.0165 \pm 0.000952$
$P_{-0}$	$0.445 \pm 0.0295$
$V_{u\_zero}$ (L)	$0.0196 \pm 0.00779$
$K_{m\_lung}$ (L/min)	$0.0049 \pm 0.000868$
$K_{e\_renal\_1}$ (L/min)	$0.798 \pm 0.134$
$P_{-1}$	$0.499 \pm 0.164$

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FIG. 1. Schematic representation of the common basis for the physiologically based pharmacokinetic models we used for a human male. The body is subdivided into compartments describing organs or diffuse tissues. Nanoparticles or free technetium are transported or transferred through the compartments.

FIG. 2. Time course of radioactivity of stomach lumen and urine for five human subjects, based on Nemmar et al. data. We present model predicted data (lines) and actual data. Radioactivity is expressed as ratio of liver radioactivity. Black circles : Subject 1; white circles : subject 2; crosses : subject 3; plusses : subject 4; squares : subject 5.

FIG. 3. Time course of radioactivity of blood for five human subjects, based on Nemmar et al. data. We present model predicted data (lines) and actual data. Black circles : Subject 1; white circles : subject 2; crosses : subject 3; plusses : subject 4; squares : subject 5.

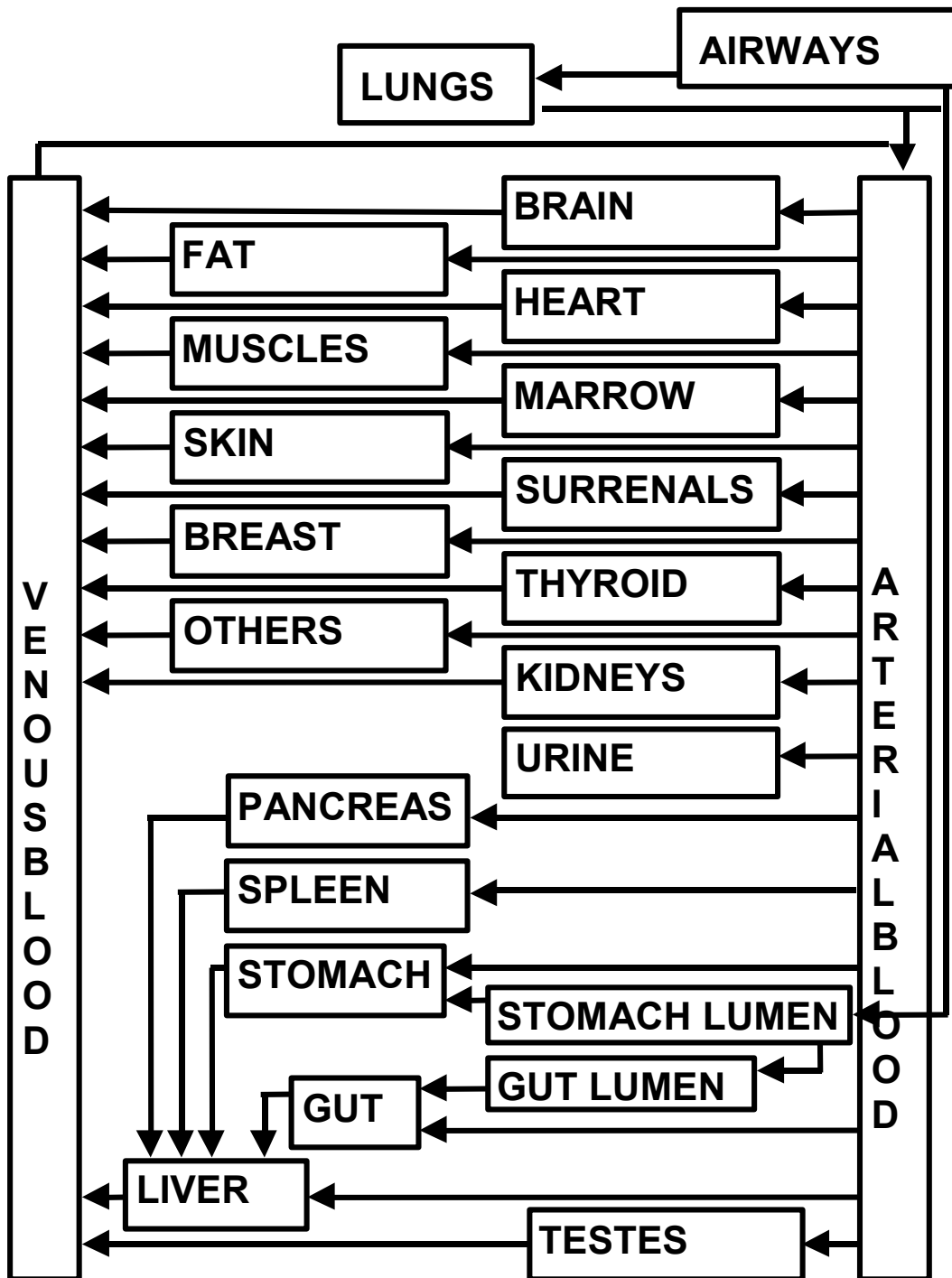


FIG. 1.

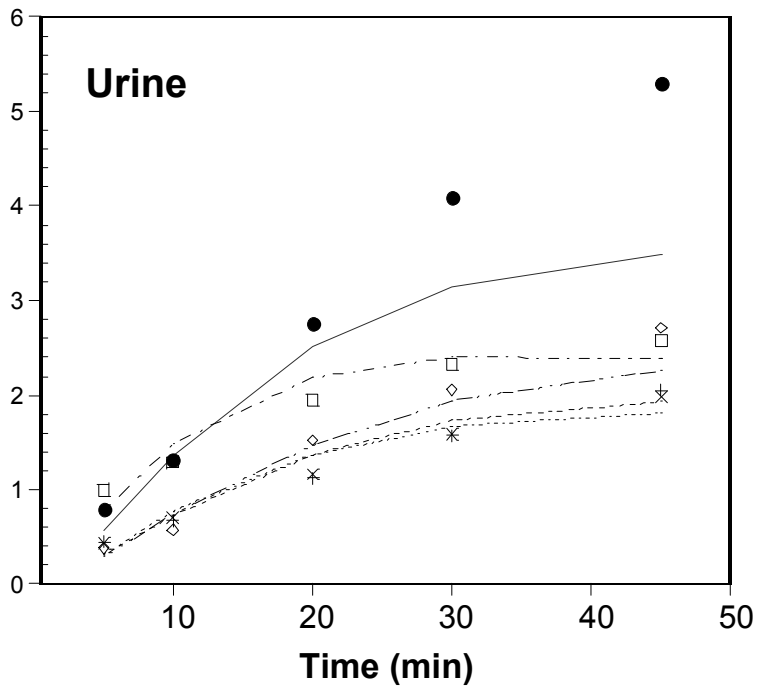
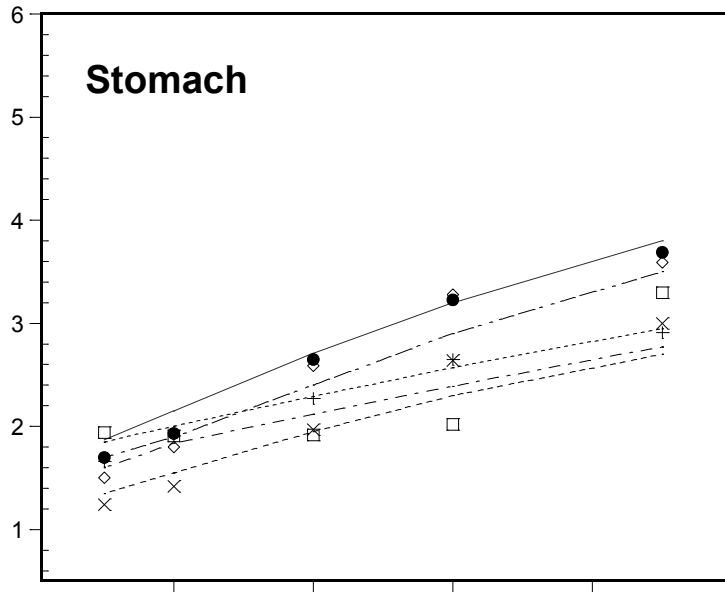


FIG. 2.

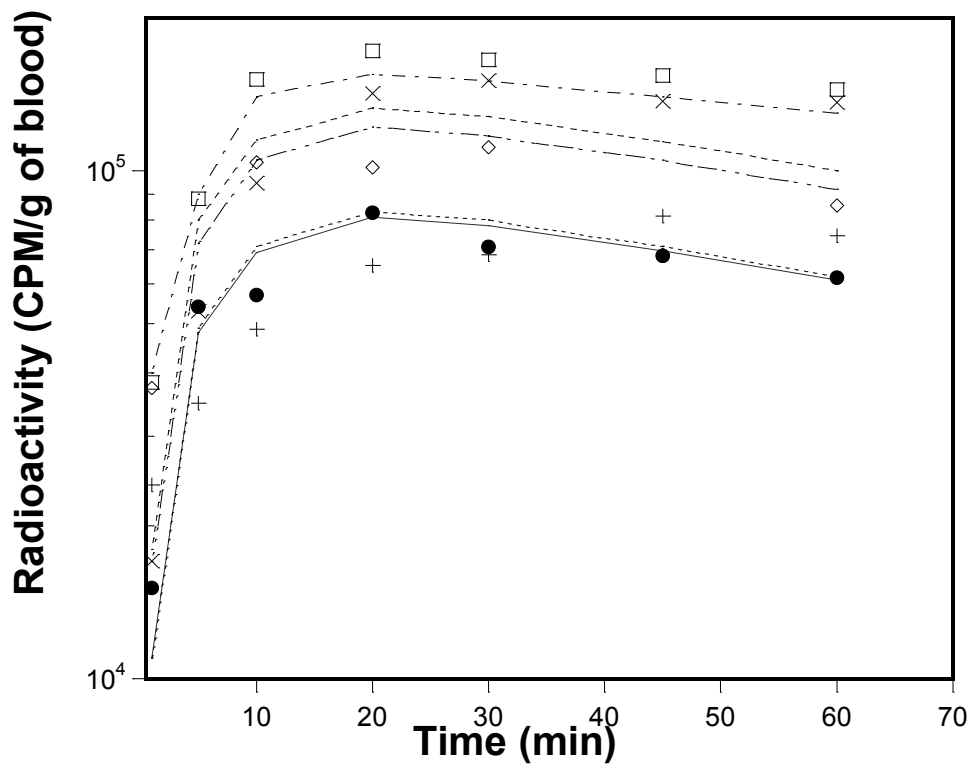


FIG. 3.